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## UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorney Docket No.	3535.1
First Inventor	Thomas R. Gingeras
Title	Methods, Compositions and Computer Software Products for Interrogating Sequence Variations in Functional Genomic Regions
Express Mail Label No.	EV 187574612 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

	455				T		Mail Stop	Patent Application	$\overline{}$
APPLICATION ELEMENTS				ADDRESS TO. Commissioner for Patents					
See MPEP chapter 600 concerning utility patent application contents.				P.O. Box 1450 Alexandria VA 22313-1450					
See MPEP chapter 600 concerning utility patent application contents.  1.  Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  2.  Applicant claims small entity status. See 37 CFR 1.27.  3.  Specification [Total Pages 37] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C.113) [Total Sheets 2] 5. Oath or Declaration [Total Sheets 1] a.  Newly executed (original or copy) b.  Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i.  DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6.  Application Data Sheet. See 37 CFR 1.76				8. Nucle ( <i>if ap</i> a.	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R. 3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.				
or in an App	olication Data S	heet under 37 Cl	FR 1.76:	, , ,	·			•	
☐ Conti		☐ Divisional		nuation-in-part	(CIP)	•	application N	lo:	
For CONTINU	Prior application information: Examiner: Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete (if applicable)

408-731-5000

11/12/2003

Telephone

Application Number

## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

968

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

Leticia R. Block

Letticia

	Filing Date	November 12, 2003
	First Named Inventor	Thomas R. Gingeras
. !	Examiner Name	Uknown
	Art Unit	Unknown
	Attorney Docket No.	3535.1

Unknown

Complete if Known

Check	METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Deposit   Account   O1-0431				3. ADDITIONAL FEES						
Deposit Account Number   O1-0431				Large	Entity	Small E	ntity			
Deposit   Account   Number	Deposit Accou	int:				F				
Account   O-431	Denosit								Fee Description	Fee Paid
Deposit   Account   Affymetix, inc.		01-0431			1051	130	2051	65	Surcharge - late filing fee or oath	
Account Name	Number				1052	50	2052	25		
The Director is authorized to: (chack all that apply)   1804   1805   1804   1805	Deposit		· · ·		1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)   Series		Affymetrix, Inc.			1812	2,520	1812	2,520	For filing a request for reexamination	
Substitution   Charge fee(s) indicated below   Substitution   Charge fee(s) indicated below expert for the filing fee   1251   110		uthorized to: (check	( all that apply)		1804	920*	1804	920*		
The above-identified deposit account.	Charge fee(s) i     Charge anv ad     C	ndicated below 🔯	Credit any overpathe the pendency of	this application	1805	1,840*	1805	1,840*		
The content of the				fee	1251	110	2251	55	Extension for reply within first month	
Small Entity   Smal	to the above-ident				1252	420	2252	210		
Carrier Entry   Small Entry   Fee   Fee   Fee   Fee   Code   (\$)   Fee   Pald   1255   2,010   2255   1,005   Extension for reply within fourth month   1001   1002   340   2002   170   Design filling fee   1401   330   2401   165   Notice of Appeal   1003   230   2003   265   Plant filling fee   1403   290   2403   145   Request for oral hearing   1004   770   2004   385   Reissue filling fee   1403   290   2403   145   Request for oral hearing   1451   1,510   1,330   2501   665   Petition to revive – unintentional   1452   1,330   2501   665   Petition to revive – unintentional   1452   1,330   2501   665   Petition to revive – unintentional   1,330   1,330   2501   665   Petition to revive – unintentional   1,330   1,330   1,330   2501   665   Petition to revive – unintentional   1,330	1. BASIC F	ILING FEE			1253	950	2253	475	Extension for reply within third month	
Code   (\$)	Large Entity	Small Entity			1254	1,480	2254	740		
1001   770   2001   385   Utility filing fee   770   1401   330   2401   155   Notice of Appeal   1402   330   2402   155   Notice of Appeal   1403   290   2403   145   Notice of Appeal   1403   1400   2452   55   Petition to revive – unavoidable   1451   1,510   1451   1,5			Description	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002   340   2002   170   Design filing fee		• • •	v filina fee	770	1401	330	2401	165	Notice of Appeal	
1003   530   2003   265   Plant filing fee   1403   290   2403   145   Request for oral hearing   1403   290   2403   145   Request for oral hearing   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1452   110   2452   55   Petition to revive – unavoidable   1452   110   2452   55   Petition to revive – unavoidable   1452   110   2452   150   2453   665   Petition to revive – unavoidable   1451   1,510   1,330   2501   665   Petition to revive – unavoidable   1460   1,33		•	•		1402	330	2402	165	Filing a brief in support of an appeal	
1004   770   2004   385   Reissue filling fee   1451   1,510   1451   1,510   Potention to institute a public use proceeding proce					1403	290	2403	145	Request for oral hearing	
SUBTOTAL (1) (§) 770   1453   1,330   2453   665   Petition to revive - unavoidable   1501   1,330   2501   665   Utility issue fee (or reissue)   1501   1,330   2501   665   Utility issue fee (or reissue)   1501   1,330   2501   665   Utility issue fee (or reissue)   1501   1,330   2501   665   Utility issue fee (or reissue)   1501   1,330   2501   665   Utility issue fee (or reissue)   1501   1,330   1460   130   1460   130   Petitions to the Commissioner (or reissue)   1460   130   1460   130   Petitions to the Commissioner (or reissue)   1502   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure (or reissue)   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure (or reissue)   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure (or reissue)   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure (or reissue)   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   1806   180   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1807   50   1808   1807   50   Processing fee under 37 CFR 1.17 (q)   1807   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1807   18	1004 770 2	004 385 Reiss	sue filing fee		1451	1,510	1451	1,510	•	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE    Extra   Fee from   Fee from   Fee fee fee fee fee fee fee fee fee from   Fee fee from   Fee fee fee fee fee fee from   Fee	1005 160 2	005 80 Provi	isional filling fee		1452	110	2452	55	Petition to revive – unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Extra Fee from Pee below Fee Claims below Fee Paid Floor Fee Delow Floor Floo	SUBTOTAL (1) (\$) 770				1453	1,330	2453	665	Petition to revive - unintentional	
Extra   Fee from   Fee   Paid   1503   640   2503   320   Plant issue fee   160   130   1460   130   Petitions to the Commissioner   160   180   1807   50   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   Submission of Information Disclosure   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   Submission of Information Disclosure   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   Submission of Information Disclosure   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure   1807   50   Processing fee under 37 CFR 1.17 (q)   1806   180   1806   180   1806   180   Submission of Information Disclosure   1802   1806   180   1806   180   1806   180   Submission of Information Disclosure   1802   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   Submission of Information Disclosure   1802   1806   1806   1806					1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Claims   31   -20 **   =   11	2. EXTRA CLA				1502	480	2502	240	Design issue fee	
Total Claims 31 -20 = 111					1503	640	2503	320	Plant issue fee	
Independent Claims 2 -3 ** = 0 X 86 = 0 1807 50 Processing fee under 37 CFR 1.17 (q) 1806 180 Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties)  Large Entity Small Entity  Fee Fee Fee Code (\$) Code	Total Claims 31				1460	130	1460	130	Petitions to the Commissioner	
Claims 2 -3 ** = 0 X 86 = 0 1806 180 Submission of Information Disclosure Stmt  Multiple Dependent  Large Entity Small Entity  Fee Fee Code (\$) Code (\$) Fee Description  1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claims in excess of 3 1204 86 2204 43 **Reissue independent claims over original patent **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 198   X 86 = 0 180 1806 180 Submission of Information Disclosure Stmt  Recording each patent assignment per property (times number of properties)  1802 1 40 8021 40 per property (times number of properties)  1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))  1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))  1801 770 2801 385 Request for Continued Examination (RCE)  1802 900 1802 900 Request for expedited examination of a design application  Other fee (specify)  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	Independent	= =			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q	) []
Dependent  Large Entity Fee Fee Code (\$) Code (\$) Fee Description 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over original patent **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 198  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0		-3** = 0	X 86	= 0	1806	180	1806	180		
Fee Code (\$) Fee Code (\$) Fee Description   1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))   1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid ** Reissue independent claims over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reissue claims in excess of 20 and over original patent ** Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	Dependent	Small Entity	x	= 0	8021	40	8021	40	per property (times number of	
1202	Fee Fee	Fee Fee	Fee Description		1809	770	2809	385		,
1203 290 2203 145 Multiple dependent claims over original patent   1204 86 2204 43 **Reissue independent claims over original patent   1205 18 2205 9 **Reissue claims in excess of 20 and over original patent   SUBTOTAL (2) (\$) 198   1801 770 2801 385 Request for Continued Examination (RCE)   1802 900 1802 900 Request for expedited examination of a design application   Other fee (specify)   *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	(**/	1 (,,	Claims in excess o	f 20	1810	770	2810	385	· · · · · · · · · · · · · · · · · · ·	
1204 86 2204 43 ** Reissue independent claims over original patent 1802 900 1802 900 Request for expedited examination of a design application 1802 900 Substitution 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination 1802 900 Request		2201 43 I	ndependent claim	s in excess of 3					examined (37 CFR § 1.129(b))	
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1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	1204 86					900	1802	900		
SUBTOTAL (2) (\$) 198  Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	1205 18 2205 9 ** Reissue claims in excess of 20 and				of a design application					
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	SUBTOTAL (2) (\$) 198				*Reduced by Basic Filing Fee Paid SURTOTAL (3) (\$) 0					<del></del>
	**or number previously paid, if greater; For Reissues, see above							(4)		

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